

**Extremal  
Sasakian Geometry**

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## Problems:

Given a contact structure or isotopy class of contact structures:

1. Determine the space of compatible Sasakian structures.
2. Determine the (pre)-moduli space of extremal Sasakian structures; those of constant scalar curvature (cscS).

• **Contact Manifold**  $M$   
(compact). A **contact 1-**  
**form**  $\eta$  such that

$$\eta \wedge (d\eta)^n \neq 0.$$

defines a **contact structure**

$$\eta' \sim \eta \iff \eta' = f\eta$$

for some  $f \neq 0$ , take  $f > 0$ . or  
equivalently a codimension 1 sub-  
bundle  $\mathcal{D} = \text{Ker } \eta$  of  $TM$  with a  
conformal symplectic structure.

A **contact invariant**:  $c_1(\mathcal{D})$

Unique vector field  $\xi$ , called the **Reeb vector field**, satisfying

$$\xi \lrcorner \eta = 1, \quad \xi \lrcorner d\eta = 0.$$

The **characteristic foliation**  $\mathcal{F}_\xi$  each leaf of  $\mathcal{F}_\xi$  passes through any nbd  $U$  at most  $k$  times  $\iff$  **quasi-regular**,  $k = 1 \iff$  regular, otherwise **irregular**

Quasi-regularity is strong, most contact 1-forms are irregular.

Contact bundle  $\mathcal{D} \rightarrow$  choose **al-**  
**most complex structure**  $J$  ex-  
tend to  $\Phi$  with  $\Phi\xi = 0$   
with a compatible metric

$$g = d\eta \circ (\Phi \otimes \mathbb{1}) + \eta \otimes \eta$$

Quadruple  $\mathcal{S} = (\xi, \eta, \Phi, g)$  called  
**contact metric structure**

The pair  $(\mathcal{D}, J)$  is a **strictly pseudo-**  
**convex almost CR structure.**

**Definition:** The structure  $\mathcal{S} = (\xi, \eta, \Phi, g)$  is **K-contact** if  $\mathcal{L}_\xi g = 0$  (or  $\mathcal{L}_\xi \Phi = 0$ ). It is **Sasakian** if in addition  $(\mathcal{D}, J)$  is integrable.

**Transverse Metric**  $g_{\mathcal{D}}$  is Kähler

## **Cone (Symplectization)**

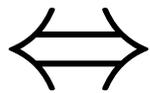
$$C(M) = M \times \mathbb{R}^+$$

symplectic form  $d(r^2\eta)$ ,  $r \in \mathbb{R}^+$ .

**Cone Metric**  $g_C = dr^2 + r^2g$

- $g_C$  is Kähler  $\iff g$  is Sasaki
- $\iff g_{\mathcal{D}}$  is Kähler.

# Sasaki-Kähler Sandwich



# Symmetries

- **Contactomorphism Group**

$$\mathcal{C}on(M, \mathcal{D}) =$$

$$\{\phi \in \mathcal{D}iff(M) \mid \phi_*\mathcal{D} \subset \mathcal{D}\}.$$

**CR transformation group:**  $\mathcal{C}\mathcal{R}(\mathcal{D}, J)$

$$= \{\phi \in \mathcal{C}on(M, \mathcal{D}) \mid \phi_*J = J\phi_*\}$$

Have:  $T^k \subset \mathcal{C}\mathcal{R}(\mathcal{D}, J) \subset \mathcal{C}on(M, \mathcal{D})$

$T^k$  a **max'l torus**  $0 \leq k \leq n + 1$ .

$\mathcal{J}(\mathcal{D})$  space of *compatible almost CR structures*, then a map

$\Omega : \mathcal{J}(\mathcal{D}) \rightarrow \{\text{conjugacy classes of maximal tori}\}$  in  $\mathcal{C}on(M, \mathcal{D})$

## Bouquets of Sasaki cones

$$\mathfrak{t}_k^+(\mathcal{D}, J) = \{\xi \in \mathfrak{t}_k \mid \eta'(\xi) > 0, \}$$

s.t.  $\mathcal{S} = (\xi, \eta, \Phi, g) \in (\mathcal{D}, J)$  is Sasakian

- finite dim'l *moduli of Sasakian structures* within *CR structure*

$$\kappa(\mathcal{D}, J) = \mathfrak{t}_k^+(\mathcal{D}, J) / \mathcal{W}(\mathcal{D}, J)$$

A given  $\mathcal{D}$  can have many Sasaki cones  $\mathfrak{t}_k^+(\mathcal{D}, J_\alpha)$  labelled by complex structures, and  $k = k(\alpha)$ .

Get **bouquet**  $\bigcup_{\alpha} \mathfrak{t}_{k(\alpha)}^+(\mathcal{D}, J_\alpha)$

union over tori conjugacy classes

- **Extremal Sasakian metrics**

(B-, Galicki, Simanca)

$$E(g) = \int_M s_g^2 d\mu_g,$$

- **Deform contact structure**

Vary  $\eta \mapsto \eta + td^c\varphi$ ,  $\varphi$  basic, gives critical point of  $E(g) \iff \partial_g^\# s_g$  is transversely holomorphic.  $s_g =$  scalar curvature.

Special case: **constant scalar curvature Sasakian (cscS)**. If  $c_1(\mathcal{D}) = 0 \Rightarrow$  **Sasaki- $\eta$ -Einstein ( $S_\eta E$ )**

$\text{Ric}_g = ag + b\eta \otimes \eta$ ,  $a, b$  constants.

**Sasaki-Einstein (SE)**  $b = 0$

## Extremal Set $e(\mathcal{D}, J)$

$e(\mathcal{D}, J) \subset t_k^+(\mathcal{D}, J)$  is open in Sasaki cone B-, Galicki, Simanca

If  $\mathcal{S} = \mathcal{S}_1 \in e(\mathcal{D}, J)$  then entire ray  $\mathcal{S}_a = (a^{-1}\xi, a\eta, \Phi, g_a) \in e(\mathcal{D}, J)$

When is  $e(\mathcal{D}, J) = t_k^+(\mathcal{D}, J)$ ?

Many ex's if  $\dim t_k^+(\mathcal{D}, J) = 1$

• If  $\dim \kappa(\mathcal{D}, J) > 1$ , sphere, Heisenberg group,  $T^2 \times S^3$  have

$e(\mathcal{D}, J) = t_k^+(\mathcal{D}, J) > 1$ .

(1) standard **CR structure** on  $S^{2n+1}$

**Toric** ( $\dim \kappa(\mathcal{D}, J) = n + 1$ .)

$$\kappa(\mathcal{D}, J) = \{w = (w_0, \dots, w_n) \in \mathbb{R}^{n+1} \mid w_0 \leq w_1 \leq \dots \leq w_n\}$$

All  $\mathcal{S}_w$  have **extremal** representatives, but only  $\Phi$ -sect. curv.

$c > -3$  has (**cscS**), and only the round sphere ( $c = 1$ ) is **SE**.

(**B, Galicki, Simanca**)

(2) The **Heisenberg group**  $\mathfrak{H}^{2n+1}$

with standard **CR structure** (non-compact),  $\dim \kappa(\mathcal{D}, J) = n$ . (**B-**)

All  $\mathcal{S} \in \kappa(\mathcal{D}, J)$  have **extremal** representatives, but there is only one with constant scalar curvature,  **$S_{\eta}E$  with  $\Phi$ -holomorphic curvature  $= -3$** . Here transverse homothety is induced by diffeomorphism.

Probably  $\epsilon(\mathcal{D}, J) = \mathfrak{t}_k^+(\mathcal{D}, J)$  also holds for **standard CR structure** on the **hyperbolic ball**  $B_{\mathbb{C}}^n \times \mathbb{R}$ . Here  $\Phi$ -sect. curv.  $c < -3$  is **(cscS)**.

When: **extremal bouquets?**

## Toric Contact Manifold

$(M^{2n+1}, \mathcal{D})$ , effective action of torus  $T^{n+1}$  leaving  $\mathcal{D}$  invariant.

(1): **Reeb Type** Reeb field  $\xi$  lies in  $\mathfrak{t}_{n+1}$ , Lie algebra of  $T^{n+1}$ .

(2):  $\xi \notin \mathfrak{t}_{n+1}$ . (less interesting)

Reeb type are Sasakian. B-/Galicki.

Other References: Banyaga/Molino, Lerman, Falcao de Moraes/Tomei.

Complete classification: Lerman.

Toric contact manifolds of Reeb type are classified by certain convex polyhedral cones in  $\mathfrak{t}_{n+1}^*$  up to  $T^{n+1}$ -equivariant equivalence. (Lerman).

**Theorem:** Every toric contact structure of Reeb type with  $c_1(\mathcal{D}) = 0$  admits a unique Sasaki-Einstein metric (Futaki, Ono, Wang, Cho)

There is a ray of cscS metrics in an open set of extremal rays. How big is  $\epsilon(\mathcal{D}, J)$ ?

## 5-manifolds

Barden-Smale classification of **simply connected 5-manifolds**

$H_2(M^5, \mathbb{Z})$  torsionfree

$S^5, S^2 \times S^3, X_\infty, k\#(S^2 \times S^3),$

$X_\infty\#k\#(S^2 \times S^3).$

All admit toric contact structures of **Reeb type**. (B-/Galicki, Ornea)

All but  $S^5$  admit infinitely many.

All obtained by **Symmetry Reduction** by weighted  $S^1$ -action.

weights  $\mathbf{p} = (p_1, p_2, p_3, p_4).$

- $S^3$ -bundles over  $S^2$ :

$M^5 = S^2 \times S^3$  or  $X_\infty$ . Which?

$w_2(M^5) \equiv c_1(\mathcal{D}_p) \pmod{2}$ .  $\Rightarrow$

$M^5 = S^2 \times S^3(X_\infty)$  if  $c_1(\mathcal{D}_p)$  is even (odd).

$$c_1(\mathcal{D}_p) = (p_1 + p_2 - p_3 - p_4)\gamma$$

Calabi extremal Kähler metrics on Hirzebruch surfaces give a bouquet of extremal Sasakian structures on  $S^2 \times S^3$  and  $X_\infty$ . Moduli space is non-Hausdorff.

The quotient  $M^5/S_\phi^1$  is an orbifold Hirzebruch surface.

- E. Legendre on **cscS** metrics:  
 $M^5$  5-manifold with  $b_2(M^5) = 1$   
 with **toric contact** structure of  
**Reeb type**.  $\exists$  at least **1** and at  
 most **7** rays of **cscS** metrics. **2**  
 rays of **cscS** non-isometric met-  
 rics  $M_{k,l}^{1,1} \approx S^2 \times S^3$  if  $k > 5l$ .

Special case of our special case:

$Y^{p,q} \approx S^2 \times S^3$ . Physicists:

**Gauntlett, Martelli, Sparks, Waldram.**

Infinitely many **toric contact struc-**  
**tures**. Each  $Y^{p,q}$  admits unique

## Sasaki-Einstein metrics.

In our notation  $\mathcal{D}_{p-q,p+q,p,p}$  with  $\gcd(p, q) = 1$  and  $1 \leq q < p$ .

$$c_1(\mathcal{D}_{p-q,p+q,p,p}) = 0.$$

Non-equivalence if  $p' \neq p$ :

## Contact homology

(Eliashberg, Givental, Hofer)

(Abreu, Macarini):  $Y^{p,1} \not\approx Y^{p',1}$

when  $p' \neq p$ .

**Theorem:**  $(B-)Y^{p,q}$  and  $Y^{p',q'}$  are contact equivalent  $\iff p' = p$ .

## 5-manifolds, $\pi_1 \neq \{1\}$

**Join Construction:** Given quasi-regular Sasakian manifolds

$$\pi_i : M_i \rightarrow \mathcal{Z}_i \text{ for } i = 1, 2.$$

Form  $(k, l)$ -join (B-, Galicki, Ornea)

$$\pi : M_1 \star_{k,l} M_2 \rightarrow \mathcal{Z}_1 \times \mathcal{Z}_2.$$

$M_1 \star_{k,l} M_2$  – Sasakian structure.

smooth iff  $\gcd(v_1 l, v_2 k) = 1$ ,

$v_i$  order of orbifold  $\mathcal{Z}_i$ .

(B-, Tønnesen-Friedman) Construct

Sasakian 5-manifolds. Consider

$M^3 \star_{k,l} S^3$  where  $M^3$  Sasakian 3-

manifold (Belgun)-uniformization.

## Hamiltonian circle action

### Two Cases:

(1)  $M^3$  circle bundle over Riemann surface  $\Sigma_g$  of genus  $g$ .

(2)  $M^3$  homology sphere as link of complete intersection  $L(a_0, \dots, a_n)$ .

The  $a_i > 1$  pairwise relatively prime.

$M^3 \star_{1,l} S^3$  homology of  $S^2 \times S^3$ .

$L(a_0, \dots, a_n) \neq L(2, 3, 5)$  and

$\{1\} \neq \pi_1(M^3 \star_{1,l} S^3)$  perfect,  $\infty$ .

## Extremal Sasaki metrics

Case (1): Topological rigidity argument of Kreck-Lück  $\Rightarrow$  diffeomorphism type:

$$M^3 \star_{k,1} S^3 = \Sigma_g \times S^3, \quad \forall k \in \mathbb{Z}^+.$$

Extremal Sasaki metrics in general case is in progress.

Case:  $g = 1$ , that is,

$$M^3 \star_{k,1} S^3 = T^2 \times S^3, \quad \forall k \in \mathbb{Z}^+.$$

## Ruled Surfaces $g = 1$

Complex structures (Atiyah, Suwa)

$$\mathbb{P}(E) \approx T^2 \times S^2, \text{ rank}(E) = 2$$

1. nonsplit case (no extremal Kähler metric)

2.  $E = L \oplus \mathbb{1}$ , degree  $L = 0$

3.  $E = L \oplus \mathbb{1}$ , degree  $L > 0$

Extremal Kähler metr (Fujiki, Hwang)

Hamiltonian 2-forms: (Apostolov, Calderbank, Gauduchon, Tønnesen-Friedman (ACGT))

2. Degree  $L = 0$ :

Representation  $\rho : \pi_1(T^2) \rightarrow SO(3)$

Get  $S^1$ -bundle over  $T^2 \times_{\rho} \mathbb{C}P^1$  with

**CSC** Sasaki metrics on  $T^2 \times S^3$ .

Vary in Sasaki cone, **extremal Sasaki metrics** exhaust Sasaki cone.

3. Degree  $L = 2n > 0$ : **ACGT**

method: Kähler metric

$$g = \frac{1+r\lambda}{r} g_{T^2} + \frac{d\lambda^2}{\Theta(\lambda)} + \Theta(\lambda)\theta^2$$

$\theta$  connection 1-form,  $d\theta = \omega_{T^2}$ ,

$0 < r < 1$ ,  $\Theta(\lambda) > 0$  in  $-1 < \lambda < 1$

$\Theta(\pm 1) = 0$ ,  $\Theta'(\pm 1) = \mp 2$

$\Theta$  4th order polynomial gives

**extremal Kähler metric**

Deform in Sasaki cone, **extremal Sasaki metrics** exhaust Sasaki cone. 3rd order polynomial gives **cscS metrics**. All are quasi-regular.

## **Summary of Results**

(1):  $T^2 \times S^3$  admits a countably infinite number of distinct contact structures  $\mathcal{D}_k$ .

(2):  $\mathcal{D}_k$  admits a **bouquet** of  $k$  2-dimensional **Sasaki cones** each

with a unique ray of **constant scalar curvature** Sasaki metrics.

(3): Each member of the bouquet in (2) has an **extremal Sasaki metric**.

(4): There is a **Sasaki cone** consisting of a single ray that admits no extremal Sasaki metric.

(5): Some results for quotients of the form  $(T^2 \times S^3)/\mathbb{Z}_l$

## References

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