

**Sasakian Geometry:  
Recent Work of  
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# Sasaki-Kähler Sandwich



**Cone**

**Sasakian**

**transverse**

# Motivation: Einstein Metrics

1. Are Einstein manifolds scarce or numerous?
2. On a given manifold are there many or few Einstein metrics?

**Sketch Proof:** of existence of many positive Einstein metrics on many  $2n + 1$ -dim'l manifolds ( $n > 1$ ).

## Main ingredients:

1. Contact geometry
2. Algebraic geometry

Main Reference: **C.P.B. and K. Galicki, Sasakian Geometry**, Oxford UP, 2008.

The metric  $g$  is **Einstein** if

$$\text{Ric}_g = \lambda g, \quad \lambda \text{ constant}$$

Three cases  $\lambda > 0, \lambda < 0, \lambda = 0$ . Here **positive** only  $\lambda > 0$ .

- **Contact Manifold(compact)**

A **contact 1-form**  $\eta$  such that

$$\eta \wedge (d\eta)^n \neq 0.$$

defines a **contact structure**

$$\eta' \sim \eta \iff \eta' = f\eta$$

for some  $f \neq 0$ , take  $f > 0$ . or equivalently a codimension 1 sub-bundle  $\mathcal{D} = \text{Ker } \eta$  of  $TM$ .

$(\mathcal{D}, d\eta)$  symplectic vector bundle

Unique vector field  $\xi$ , called the **Reeb vector field**, satisfying

$$\xi \lrcorner \eta = 1, \quad \xi \lrcorner d\eta = 0.$$

The **characteristic foliation**  $\mathcal{F}_\xi$  each leaf of  $\mathcal{F}_\xi$  passes through any nbd  $U$  at most  $k$  times  $\iff$  **quasi-regular**,  $k = 1 \iff$  regular, otherwise **irregular**

Quasi-regularity is strong, most contact 1-forms are irregular.

Contact bundle  $\mathcal{D} \rightarrow$  choose **al-**  
**most complex structure**  $J$  ex-  
tend to  $\Phi$  with  $\Phi\xi = 0$

Get a compatible metric

$$g = d\eta \circ (\Phi \otimes \mathbb{1}) + \eta \otimes \eta$$

Quadruple  $\mathcal{S} = (\xi, \eta, \Phi, g)$  called  
**contact metric structure**

The pair  $(\mathcal{D}, J)$  is a **strictly pseudo-**  
**convex almost CR structure.**

**Question:** Which contact metrics are Einstein?

**Definition:** The structure  $\mathcal{S} = (\xi, \eta, \Phi, g)$  is **K-contact** if  $\mathcal{L}_\xi g = 0$  (or  $\mathcal{L}_\xi \Phi = 0$ ). It is **Sasakian** if in addition  $(\mathcal{D}, J)$  is integrable.

**Note:** Quasi-regular  $\Rightarrow$  K-contact.



**Thm:** (*B-, Galicki*) *K*-contact + Einstein  $\Rightarrow$  Sasaki-Einstein with  $\lambda > 0$ .

**Remark:** The only known contact metric that is Einstein and not Sasakian is the flat metric on 3-D torus  $T^3$  (or quotient thereof).

**Blair:** this is the only flat contact metric.

**orbifold Boothby-Wang:** Manifold  $M$  compact with  $(\xi, \eta, \Phi, g)$  quasi-regular  $\Rightarrow$  quotient  $Z = M/\mathcal{F}_\xi$  almost Kähler orbifold

**Converse:**  $\mathcal{Z} = M/\mathcal{F}_\xi$  almost Kähler orbifold.  $\omega$  Kähler form with  $[\omega] \in H_{orb}^2(\mathcal{Z}, \mathbb{Z})$ . Total space  $M$  of  $S^1$  orbibundle over  $\mathcal{Z}$  has K-contact structure.  $(\mathcal{Z}, \omega)$  is *projective algebraic orbifold*  $\iff (\xi, \eta, \Phi, g)$  is *Sasakian*.

$(\mathcal{Z}, \omega)$  Kähler-Einstein (*KE*) with  $\lambda > 0 \iff (\xi, \eta, \Phi, g)$  is Sasaki-Einstein (*SE*),  $\text{Ric}_g = 2ng$ .

**Question:** When do we have *SE* or *KE* metrics?

1.  $c_1^{orb}(\mathcal{Z}) > 0$  (easy)
2. solve Monge-Ampère equation (hard, continuity method)

$$\frac{\det(g_{i\bar{j}} + \frac{\partial^2 \phi}{\partial z_i \partial \bar{z}_j})}{\det(g_{i\bar{j}})} = e^{f-t\phi}.$$

*Tian:* uniform boundedness

$$\int_{\mathcal{Z}} e^{-\gamma t \phi_{t_0}} \omega_0^n < +\infty$$

Many people *Yau, Tian, Siu, Nadel,*  
and most recently by *Demailly*  
and *Kollár* in orbifold category.

**algebraic geometry of orbifolds:**

local unif covers, ram index:  $m_j$

**branch divisor:**  $\mathbb{Q}$ -divisor

$$\Delta := \sum \left(1 - \frac{1}{m_j}\right) D_j$$

**canonical orbibundle**

$$K_Z^{orb} = K_Z + \sum \left(1 - \frac{1}{m_j}\right) [D_j],$$

**Kawamata log terminal (klt):** For every  $s \geq 1$  and holomorphic section  $\tau_s \in H^0(\mathcal{Z}, \mathcal{O}((K_{\mathcal{Z}}^{orb})^{-s}))$  there is  $\gamma > \frac{n}{n+1}$  such that  $|\tau_s|^{-\gamma/s} \in L^2(\mathcal{Z})$ .

Theorem 2:  $c_1^{orb}(\mathcal{Z}) > 0$ , **klt**  $\Rightarrow$  **Sasaki-Einstein** metric.

How to find them:

1. Links of weighted homogeneous polynomials. **C.B., Galicki, Kollár, Nakamaye**

# Sasakian Geometry of Links

$\mathbb{C}^{n+1}$  coordinates  $\mathbf{z} = (z_0, \dots, z_n)$

weighted  $\mathbb{C}^*$ -action

$$(z_0, \dots, z_n) \mapsto (\lambda^{w_0} z_0, \dots, \lambda^{w_n} z_n),$$

weight vector  $\mathbf{w} = (w_1, \dots, w_n)$

with  $w_j \in \mathbb{Z}^+$  and

$$\gcd(w_0, \dots, w_n) = 1.$$

$f$  weighted homogeneous polynomial

$$f(\lambda^{w_0} z_0, \dots, \lambda^{w_n} z_n) = \lambda^d f(z_0, \dots, z_n)$$

$d \in \mathbb{Z}^+$  is **degree** of  $f$ .

$0 \in \mathbb{C}^{n+1}$  isolated singularity.

**link**  $L_f$  defined by

$$L_f = f^{-1}(0) \cap S^{2n+1},$$

$S^{2n+1}$  unit sphere in  $\mathbb{C}^{n+1}$

Special Case: **Brieskorn-Pham poly.**

**(BP)**

$$f(z_0, \dots, z_n) = z_0^{a_0} + \dots + z_n^{a_n}$$

Fact:  $L_f$  has natural Sasakian structure with commutative diagram: Sasaki, Abe, Takahashi, C.B, Galicki

$$\begin{array}{ccc}
 L_f & \rightarrow & S_{\mathbf{w}}^{2n+1} \\
 \downarrow \pi & & \downarrow \\
 \mathcal{Z}_f & \rightarrow & \mathbb{P}_{\mathbb{C}}(\mathbf{w}),
 \end{array}$$

horizontal arrows: Sasakian and Kählerian embeddings.

vertical arrows: orbifold Riemannian submersions.

$S_{\mathbf{w}}^{2n+1}$  weighted sphere

$\mathbb{P}_{\mathbb{C}}(\mathbf{w})$  weighted projective space



## Topology of Links

Milnor Fibration Theorem  $\Rightarrow L_f$  is  $(n-2)$ -connected. So topology is determined by  $H_{n-1}(L_f, \mathbb{Z})$ .

**monodromy** map  $h_*$  induced by  $S_{\mathbb{W}}^1$  action  $\Rightarrow$  **Alexander polynomial**  $\Delta(t) = \det(t\mathbb{I} - h_*) \rightarrow b_{n-1}(L_f)$   
 $= \#$  of factors of  $(1-t)$  in  $\Delta(t)$

Torsion: **Orlik conjecture**: Holds for **BP**'s, and generally for dimensions 3 and 5.

**BP**: spheres— Brieskorn Graph Thm.

## Sasaki-Einstein metrics

Positivity  $\Rightarrow I = (\sum w_i - d) > 0$

klt estimates for  $L_f$

$$d(\sum w_i - d) < \frac{n}{n-1} \min_{i,j} w_i w_j.$$

BP polyn: (better)

$$1 < \sum_{i=0}^n \frac{1}{a_i} < 1 + \frac{n}{n-1} \min_i \left\{ \frac{1}{a_i}, \frac{1}{b_i b_j} \right\}.$$

$a_i$  BP exponents and

$$b_i = \gcd(a_i, \text{lcm}(a_j \mid j \neq i))$$

$\exists$  other estimates. Positivity plus a klt estimate  $\Rightarrow$  SE metric

## SE Moduli: infinitesimal deformations

**Thm**  $L_f$  a link with index  $I > 0$  satisfying any klt estimate. Then  $L_f$  admits a  $\mu_{SE}$ -dimensional family of SE metrics where  $\mu_{SE}$  equals

$$2[h^0(\mathbb{CP}(\mathbf{w}), \mathcal{O}(d)) - \sum_i h^0(\mathbb{CP}(\mathbf{w}), \mathcal{O}(w_i))] + \dim \text{Aut}(\mathcal{Z}_f)$$

$N_{SE} = \#$  of deformation classes SE metrics.

## Obstructions

Only known topological obstructions to Einstein metrics occur in dimension 4. Hitchin-Thorpe and more LeBrun

There are obstructions to SE metrics: Gauntlett, Martelli, Sparks, Yau

Estimate of Lichnerowicz  $\Rightarrow$  if  $I > n \min_i w_i$  then  $\nexists$  SE metrics.

Only applies to KE orbifolds!

Cases when estimate is sharp:

(Ghigi-Kollár)  $\exists$  SE metric  $\iff$

$I < n \min_i w_i$  only homotopy spheres.

## The Results

**Homotopy Spheres:** Kervaire, Milnor

$bP_{2n}$  = group of homotopy spheres  $S^{2n-1}$  that bound a parallelizable manifold.  $bP_8 = \mathbb{Z}_{28}$ ,  $bP_{12} = \mathbb{Z}_{992}$ ,  $bP_{16} = \mathbb{Z}_{8128}$ ,  $bP_{4n+2} = 0$  or  $\mathbb{Z}_2$ ;  $bP_6 = bP_{14} = bP_{30} = 0$ .

(B, Galicki, Kollár)

- Each **28** diffeo types of  $S^7$  admits hundreds of SE metrics.

Largest  $\mu_{SE} = 82$ , standard  $S^7$ .

- All 992 diffeo types in  $bP_{12}$  and all 8128 diffeo types in  $bP_{16}$  admit SE metrics.

- All elements of  $bP_{4n+2}$  admit SE metrics.

**Conjecture:** All elements of  $bP_{2n}$  admit SE metrics.

- Both  $N_{SE}$  and  $\mu_{SE}$  grow double exponentially with dimension.

Reason for growth: Sylvester's

sequence determined by  $c_{k+1} = 1 + c_0 \cdots c_k$  begins as 2, 3, 7, 43, 1807, 3263443, 10650056950807, ...

Sequences  $\mathbf{a} = (a_0 = c_0, \dots, a_{n-1} = c_{n-1}, a_n)$  with  $c_{n-1} < a_n < c_0 \cdots c_{n-1}$  give **SE** metrics

**Examples:**

(1)  $N_{SE}(S^{13}) > 10^9$  and

$$\mu_{SE}(S^{13}) = 21300113901610$$

(2)  $N_{SE}(S^{29}) > 5 \times 10^{1666}$  and

$$\mu_{SE}(S^{29}) > 2 \times 10^{1667}$$

**Conjecture:** Both  $N_{SE}(S^{2n-1})$  and  $\mu_{SE}(S^{2n-1})$  are finite.

# Sasaki Geometry Dimension 5

Smale-Barden classification: 5-manifolds  $M^5$  with  $\pi_1(M^5)$  trivial. Assume spin  $\Rightarrow$  Smale

## BUILDING BLOCKS

$$M_\infty = S^2 \times S^3, \quad M_1 = S^5$$

$$M_j, \quad H_2(M_j, \mathbb{Z}) = \mathbb{Z}_j \oplus \mathbb{Z}_j$$

$$M^5 = M_{k_1} \# \cdots \# M_{k_s},$$

$k_i$  divides  $k_{i+1}$  or  $k_{i+1} = \infty$ .



Which  $M^5$  admit Sasakian structures?

Not known generally. However,  
**Kollár**: write ( $p$  prime)

$$H_2(M^5, \mathbb{Z}) = \mathbb{Z}^k \oplus \bigoplus_{p,i} (\mathbb{Z}_{p^i})^{c(p^i)}$$

Sasakian  $\Rightarrow$

$$\#\{i \mid c(p^i) > 0\} \leq k + 1$$

Example:  $M_p^5 \# M_{p^2}^5$  is **not** Sasakian,  
but both  $M_p^5$  and  $M_{p^2}^5$  are.

$M^5$  Sasakian  $\Rightarrow$

$$H_2(M^5, \mathbb{Z}) = \mathbb{Z}^k \oplus \sum_i (\mathbb{Z}_{m_i})^{2g(D_i)}$$

where  $D_i$  branch divisor = Riemann surface **genus**  $g(D_i)$  **Kollár**

Assume **positive** Sasakian: **Kollár**:

$H_2(M^5, \mathbb{Z})_{\text{tor}}$  must be

$$\mathbb{Z}_m^2, \mathbb{Z}_2^{2n}, \mathbb{Z}_3^4, \mathbb{Z}_3^6, \mathbb{Z}_3^8, \mathbb{Z}_4^4, \mathbb{Z}_5^4$$

Note  $m = 1 \Rightarrow$  no torsion. All such groups occur, but not all rat'l homology spheres.  $M_\infty \# M_{30k}$  admits **SE** metric, but  $M_{30k}$  does not! All the others do.

(B-, Galicki, Nakamaye; Kollár):  $nM_\infty$

all admit **SE** metrics

$$N_{SE}(nM_\infty) = \infty \quad \forall n > 0.$$

Rational homology spheres ( $\pi_1$  trivial) (B-, Galicki; Kollár)

with  $c_1^{orb} > 0 \iff$

$nM_2, n > 0, M_m, m \neq 30k,$

$2M_3, 3M_3, 4M_3, 2M_4, 2M_5$

all known to admit **SE** except

$nM_2$  with  $n \geq 8$  or  $n = 4$ .

$$N_{SE}(2M_5) = N_{SE}(4M_3) = 1$$

$$\mu_{SE}(2M_5) = 6, \quad \mu_{SE}(4M_3) = 14$$

$$N_{SE}(2M_4) = 2 \quad (\text{Kollár})$$

**Mixed types:**  $kM_\infty \# N$ ,  $k > 0, m > 1$ ;  $N =$  a rat'l homology sphere as above, but now we can have  $m = 30l$ .

$m \geq 12 \Rightarrow k \leq 8$  **BGK**

$kM_\infty \# M_m$  where  $1 \leq k \leq 8$  and  $m \geq 12$  have **SE** metrics, and

$$N_{SE}(M_\infty \# M_m) = 4;$$

$$N_{SE}(2M_\infty \# M_m) = 3;$$

$$N_{SE}(kM_\infty \# M_m) = 2, \quad k = 3, 4;$$

$$N_{SE}(kM_\infty \# M_m) = 1, \quad k = 5, \dots, 8.$$

$$N = 4M_3, \quad 2M_5 \Rightarrow k = 0.$$

$$N = 2M_4 \Rightarrow k = 0, 1. \quad (\text{Kollár})$$

- $M_\infty \# M_m$  has SE metrics for  $m = 2, \dots, 7$ .
- $M_\infty \# nM_3$  has SE metrics for  $n = 2, 3$ .
- $2M_\infty \# M_m$  has SE metrics for  $m = 2, \dots, 5$ .
- $3M_\infty \# M_m$  has SE metrics for  $m = 2, 3, 4, 7, 9, 10$ .
- $4M_\infty \# M_m$  has SE metrics for  $4 \neq m \geq 2$ .
- $4M_\infty \# 2M_2$  has SE metrics.
- $5M_\infty \# M_m$  has SE metrics for  $m = 2$ . (B-, Nakamaye)

- $5M_\infty \# 2M_2$  has SE metrics
- $6M_\infty \# M_m$  has SE metrics for  $m \geq 2$ .
- $7M_\infty \# M_m$  has SE metrics for  $m \geq 3$ .
- $8M_\infty \# M_m$  has SE metrics for  $m \geq 5$ . (B-, Galicki)

SE unknown for  $kM_\infty \# M_m$  with  $k > 8$  and  $2 \leq m < 12$ .

**Problem:** Completeness of SE moduli. Relate to Einstein moduli (Koiso).

## Higher Dimension

- Sasakian with pos Ricci curv on  $2k(S^{2n-1} \times S^{2n})$  for different diffeo types. E.g. on all 28 diffeo classes for  $2(S^3 \times S^4)$ .

**SE** for  $222(S^3 \times S^4)$  and  $480(S^3 \times S^4)$  (C.B., Galicki)

- **SE** metrics on  $S^2 \times S^5$   
(C.B., Galicki, Ornea)

- Many others with torsion