

# EXTREMAL SASAKIAN GEOMETRY

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Inaugural Geometry Lectures,  
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## ① **LECTURE 1:** Fundamentals of Sasakian Geometry

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## IMPORTANT ANALOGIES

Even Dimension  $\longleftrightarrow$  Odd Dimension

Symplectic Geometry  $\longleftrightarrow$  Contact Geometry

Kähler Geometry  $\longleftrightarrow$  Sasakian Geometry



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  - Determine those having distinct underlying **CR structures**.



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$$\eta' \sim \eta \iff \eta' = f\eta$$

for some  $f \neq 0$ , take  $f > 0$ , or equivalently a codimension 1 subbundle  $\mathcal{D} = \text{Ker } \eta$  of  $TM$  with a conformal symplectic structure. So  $\{\text{oriented contact 1-forms in } \mathcal{D}\} \approx C^\infty(M)^+$

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- 8 We can choose a **compatible almost complex structure**  $J$  on  $\mathcal{D}$ , that is one that satisfies the two conditions

$$d\eta(JX, JY) = d\eta(X, Y) \quad d\eta(JX, Y) > 0$$

for any sections  $X, Y$  of  $\mathcal{D}$ .



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$$\eta' \sim \eta \iff \eta' = f\eta$$

for some  $f \neq 0$ , take  $f > 0$ , or equivalently a codimension 1 subbundle  $\mathcal{D} = \text{Ker } \eta$  of  $TM$  with a conformal symplectic structure. So  $\{\text{oriented contact 1-forms in } \mathcal{D}\} \approx C^\infty(M)^+$

- 4 The pair  $(M, \mathcal{D})$  is called a **contact manifold**.
- 5 If we choose a contact 1-form  $\eta$ , there is a unique vector field  $\xi$ , called the **Reeb vector field**, satisfying

$$\eta(\xi) = 1, \quad \xi \lrcorner d\eta = 0.$$

- 6 The **characteristic foliation**  $\mathcal{F}_\xi$  is the 1-dim'l foliation defined by  $\xi$ : It is called **quasi-regular** if each leaf of  $\mathcal{F}_\xi$  passes through any nbd  $U$  at most  $k$  times. It is **regular** if  $k = 1$ ; otherwise, it is **irregular**. We also say that the **contact form**  $\eta$  is **quasi-regular, regular, irregular**.
- 7 Most contact forms in a contact structure  $\mathcal{D}$  are **irregular**
- 8 We can choose a **compatible almost complex structure**  $J$  on  $\mathcal{D}$ , that is one that satisfies the two conditions

$$d\eta(JX, JY) = d\eta(X, Y) \quad d\eta(JX, Y) > 0$$

for any sections  $X, Y$  of  $\mathcal{D}$ .

- 9 The **almost complex structure**  $J$  extends to an endomorphism  $\Phi$  of  $TM$  satisfying  $\Phi\xi = 0$ .

- There is a 'canonical' compatible metric  $g = d\eta \circ (\Phi \otimes \mathbb{1}) + \eta \otimes \eta$ . Quadruple  $\mathcal{S} = (\xi, \eta, \Phi, g)$  called **contact metric structure**.

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- Under various technical assumptions,  $\chi_m(W)$  exists and is a **contact invariant** independent of the **Liouville filling** which allows us to distinguish **components** of the **Sasaki moduli** space.

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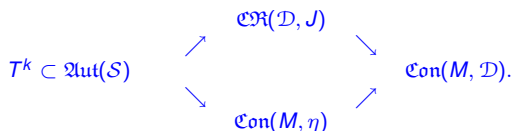
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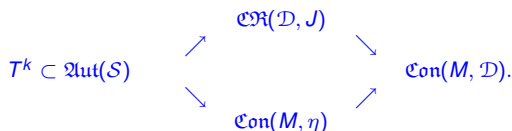
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- **Conjugacy classes** of maximal tori in  $\mathcal{C}on(M, \mathcal{D})$  distinguish distinct (almost) **CR structures** in the same contact structure  $(M, \mathcal{D})$ .

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- We denote by  $\mathfrak{h}_0^T(\xi, \bar{J})$  the Lie algebra of  $\mathfrak{H}_0^T(\xi, \bar{J})$ .

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- If  $\mathcal{S} = (\xi, \eta, \Phi, g)$  is **extremal (or cscS)** then so is  $\mathcal{S}_a = (a^{-1}\xi, a\eta, \Phi, g_a)$  for any  $a > 0$ .

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- **SE metrics** have a fixed scale and  $g$  is **SE metric**  $\iff$  the transverse metric  $g_{\mathcal{D}}$  is **Kähler-Einstein (KE) metric** with scalar curvature equal to  $4n(n+1)$ .



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- $g$  is a critical point of  $E(g)$ , called **extremal Sasaki metric**,  $\iff \partial_g^\# s_g \in \mathfrak{h}_0^T(\xi, \bar{J})$ , i.e. it is a transversely holomorphic vector field. Here  $\partial_g^\#$  denotes the  $(1, 0)$  gradient with respect to  $g$ .
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- The **Sasaki-Futaki invariant**  $\mathfrak{S}_{\xi}(X) = \int_M X(\psi_g) d\mu_g$  where  $X \in \mathfrak{h}_0^T(\xi, \bar{J})$  and  $\psi_g$  is the **Ricci potential** satisfying  $\rho^T = \rho_h^T + i\partial\bar{\partial}\psi_g$  where  $\rho^T$  is the **transverse Ricci form** and  $\rho_h^T$  is its **harmonic part**.

# Extremal Sasakian metrics (B-Galicki-Simanca)

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- Einstein-Hilbert Functional

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- Constructions of Sasaki manifolds

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- Sasaki-Einstein Metrics in Abundance: the Sylvester Sequence

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- Sasaki version of the **Donaldson-Tian-Yau conjecture**: **cscS**  $\iff$  cone is **K-polystable**.  
More later.

- Every **quasi-regular Sasaki manifold** is the total space  $M$  of an  $S^1$ -orbibundle over a **projective algebraic orbifold**  $\mathcal{Z}$ . In the context of contact-symplectic geometry it is known as the **orbifold Boothby-Wang construction**. We also refer to it as a **Sasaki-Seifert structure**.

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- Of particular interest are the **Brieskorn-Pham polynomials (BP)** of the form

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is the unit sphere in  $\mathbb{C}^{n+1}$ . This gives  $\lambda \in S^1 \Rightarrow$  a weighted  $S^1$  action on  $S^{2n+1}$ .

- Of particular interest are the **Brieskorn-Pham polynomials (BP)** of the form

$$f(z_0, \dots, z_n) = z_0^{a_0} + \dots + z_n^{a_n} \text{ with } w_j a_j = d'$$

- The link  $L_f$  is endowed with a natural **quasi-regular Sasakian structure** inherited as a Sasakian submanifold of the sphere  $S^{2n+1}$  with its “weighted” Sasakian structure  $S_{\mathbf{w}} = (\xi_{\mathbf{w}}, \eta_{\mathbf{w}}, \Phi_{\mathbf{w}}, g_{\mathbf{w}})$ .

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- **Milnor and Orlik [1970]** commutes  $H_n(L_f, \mathbb{Z})$  in terms of the **Alexander polynomial**  $\Delta(t) = \det(t\mathbb{I} - h_*)$ . The Betti number  $b_n(L_f) = b_{n-1}(L_f)$  equals the number of factors of  $(t - 1)$  in  $\Delta(t)$ .

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- **Orlik [1978]** proposed an algorithmic way of **computing torsion** of any  $L_f$ . He made a **conjecture** that his algorithm always produces correct answer. The conjecture was later proved by **Randell [1980]** for special links such as **Brieskorn-Pham links**. In full generality the conjecture is still open. However, it is known to hold for dimension 3 and it follows from the recent work of **Kollár** that it holds in dimension 5 **B-, Galicki, Simanca**.

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- Given the differentials in the **spectral sequence** of the fibrations  $M_j \longrightarrow B\mathcal{Z}_j \longrightarrow BS^1$ , use the commutative diagram to compute the **cohomology ring** of the **contact** manifold  $M_1 \star_{l_1, l_2, w} M_2$ . Examples later.

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## Theorem

For each  $i = 1, 2$  let  $M_i^{2n_i+1}$  be a quasi-regular **SE manifold** whose base  $\mathcal{Z}_i$  has Fano index  $l_{\mathcal{Z}_i}$  and let  $l_i$  be their relative Fano indices. Assume also that  $\gcd(v_1 l_2, v_2 l_1) = 1$ . Then the **join**  $M_1 \star_{l_1, l_2} M_2$  is an **SE manifold** of dimension  $2(n_1 + n_2) + 1$ .



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For each  $i = 1, 2$  let  $M_i^{2n_i+1}$  be a quasi-regular **SE manifold** whose base  $\mathcal{Z}_i$  has Fano index  $l_{\mathcal{Z}_i}$  and let  $l_i$  be their relative Fano indices. Assume also that  $\gcd(v_1 l_2, v_2 l_1) = 1$ . Then the **join**  $M_1 \star_{l_1, l_2} M_2$  is an **SE manifold** of dimension  $2(n_1 + n_2) + 1$ .

- We will see later how to deform in the **Sasaki cone** to obtain **Sasaki-Einstein metrics** when only one of the  $M_i$  has an **SE metric**.

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- For every  $\mathbb{Q}$ -divisor  $D$  numerically equivalent to  $-K_{\mathcal{Z}}^{orb}$  there exists a resolution of singularities  $\mu: Y \rightarrow \mathcal{Z}$  of  $D$  such that for some  $\gamma \in (\frac{n}{n+1}, 1)$  the multiplier ideal sheaf  $\mathcal{J}(\gamma D) = \mu_* \mathcal{O}_Y(K_Y - \mu^* K_{\mathcal{Z}}^{orb} - \lfloor \mu^* \gamma D \rfloor)$  is the full structure sheaf  $\mathcal{O}_{\mathcal{Z}}$ , where  $\lfloor \cdot \rfloor$  denotes the **round-down** and  $K_X^{orb} = K_X + \sum(1 - \frac{1}{m_i})D_i$  where  $\Delta = \sum(1 - \frac{1}{m_i})D_i$  with a  $D_i$  a **branch divisor** with **ramification index**  $m_i$ . This condition is known as **Kawamata log terminal** or **klt** for short, and guarantees a **Kähler-Einstein orbifold metric** on  $\mathcal{Z}$ ; hence, a **Sasaki-Einstein metric** on  $M$ .



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- Ghigi-Kollár: For a **Brieskorn-Pham polynomial**  $L(\mathbf{a})$  with the components  $a_0, \dots, a_n$  of  $\mathbf{a}$  **pairwise relatively prime**,  $L(\mathbf{a})$  admits a **Sasaki-Einstein metric** if and only if

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- On  $S^5$  there are at least **82** distinct **Sasaki-Seifert structures** with **Sasaki-Einstein metrics** many of which occur with moduli (B-, Galicki, Kollár; Ghigi, Kollár; Li, Sun). The largest local moduli has real dimension 20 (B-, Macarini, van Koert). Moreover, there are at least **76** components of the **Sasaki-Einstein moduli space** (B-, Macarini, van Koert). These are distinguished by  $SH^+, S^1$  and its **mean Euler characteristic**.

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- In dimension 7 there are precisely **28 oriented diffeomorphism types** group  $bP_8$ . Each such diffeomorphism type admits hundreds of **Sasaki-Seifert structures** each with a **Sasaki-Einstein metric** many of which have **moduli** (B-, Galicki, Kollár; Ghigi, Kollár).



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- In dimension 7 there are precisely **28 oriented diffeomorphism types** group  $bP_8$ . Each such diffeomorphism type admits hundreds of **Sasaki-Seifert structures** each with a **Sasaki-Einstein metric** many of which have **moduli** (B-, Galicki, Kollár; Ghigi, Kollár).
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- **Conjecture** (B-, Galicki, Kollár): All homotopy spheres that bound a parallelizable manifold admit a **Sasaki-Einstein metric**.
- Example: the sequence  $\mathbf{a} = (2, 3, 7, 43, 43 \cdot 39)$  gives a  $2(44 + 4 - 5) = 86$  real parameter family of **Sasaki-Einstein metrics** on the **exotic sphere**  $\Sigma_6 \in bP_8$  i.e. 6 times the Milnor generator.

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- However, a **Sasaki-Einstein metric** is unique in the space  $\mathfrak{F}(\xi)$  up to a transverse holomorphic transformation (Nitta,Sekiya).

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Recall the join construction

- We take  $M_1 = M$  to be a regular Sasaki manifold and  $M_2 = S_{\mathbf{w}}^3$ , the three dimensional weighted sphere. That is the weighted Sasakian structure  $\mathcal{S}_{\mathbf{w}} = (\xi_{\mathbf{w}}, \eta_{\mathbf{w}}, \Phi_{\mathbf{w}}, g_{\mathbf{w}})$  mentioned earlier with  $\mathbf{w} = (w_1, w_2)$ .



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- The join  $M \star_{l_1, l_2} S^3_{\mathbf{w}}$  can also be realized as a **lens space bundle** over  $N$  with fiber the lens space  $L(l_2; l_1 w_1, l_1 w_2)$ .

- We deform the Reeb vector field in  $t_w^+$  to a new Reeb field  $\xi_v$  to look for **cscS** and other **extremal Sasaki metrics**. Here  $v = (v_1, v_2)$  is real valued vector.

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- The join gives rise to the following commutative diagram

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 N \times \mathbb{C}P^1[w] & \swarrow \pi_w & & \searrow \pi_v & (S_n, \Delta) \\
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- $(S_n, \Delta)$  can be realized as the projective bundle  $\mathbb{P}(\mathbb{1} \oplus L_n)$  over  $N$  where  $L_n$  is a non-trivial complex line bundle where  $n = l_1 \left( \frac{w_1 v_2 - w_2 v_1}{s} \right)$  with  $s = \gcd(|w_1 v_2 - w_2 v_1|, l_2)$ .



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 N \times \mathbb{C}\mathbb{P}^1[\mathbf{w}] & & & & (S_n, \Delta) \\
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 & & N & & 
 \end{array}$$

where  $\pi_L, \pi_{\mathbf{w}}, \pi_{\mathbf{v}}, \rho_{\mathbf{w}}, \rho_{\mathbf{v}}$  are the obvious projections.

- $(S_n, \Delta)$  can be realized as the projective bundle  $\mathbb{P}(\mathbb{1} \oplus L_n)$  over  $N$  where  $L_n$  is a non-trivial complex line bundle where  $n = l_1 \left( \frac{w_1 v_2 - w_2 v_1}{s} \right)$  with  $s = \gcd(|w_1 v_2 - w_2 v_1|, l_2)$ .
- The fiber of  $(S_n, \Delta)$  is  $(\mathbb{C}\mathbb{P}^1[\mathbf{v}]/\mathbb{Z}_m)$  with the branch divisor

$$\Delta = \left(1 - \frac{1}{m_1}\right)D_1 + \left(1 - \frac{1}{m_2}\right)D_2,$$

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- Relation to **CR Yamabe Problem** (Jerison and Lee): For a Sasaki structure the Webster pseudo-Hermitian metric coincides with the transverse Kähler metric. So a **CSC** Sasaki metric provides a solution to the CR Yamabe Problem. It is known that when the **CR Yamabe invariant**  $\lambda(M)$  is **nonpositive**, the CSC metric is unique. However, when  $\lambda(M) > 0$  there can be several CSC solutions. Our results provides many such examples.

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$$H^*(M_{l_1, l_2, \mathbf{w}}, \mathbb{Z}) \approx \mathbb{Z}[x, y] / (w_1 w_2 l_1^2 x^2, x^{p+1}, x^2 y, y^2)$$

where  $x, y$  are classes of degree 2 and  $2p + 1$ , respectively. Furthermore, with  $l_1, w_1, w_2$  fixed there are a **finite number of diffeomorphism types** with the given cohomology ring. Hence, in each such dimension there exist simply connected smooth manifolds with **countably infinite toric contact** structures of Reeb type that are **inequivalent** as contact structures.

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$$H^*(M_{l_1, l_2, \mathbf{w}}, \mathbb{Z}) \approx \mathbb{Z}[x, y] / (w_1 w_2 l_1^2 x^2, x^{p+1}, x^2 y, y^2)$$

where  $x, y$  are classes of degree 2 and  $2p + 1$ , respectively. Furthermore, with  $l_1, w_1, w_2$  fixed there are a **finite number of diffeomorphism types** with the given cohomology ring. Hence, in each such dimension there exist simply connected smooth manifolds with **countably infinite toric contact** structures of Reeb type that are **inequivalent** as contact structures.

- In special cases we can determine the **diffeomorphism (homeomorphism, homotopy)** types.

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- From join construction get **commutative diagram** of fibrations:

$$\begin{array}{ccccc} M \times S_w^3 & \longrightarrow & M_{I_1, I_2, w} & \longrightarrow & BS^1 \\ \downarrow = & & \downarrow & & \downarrow \psi \\ M \times S_w^3 & \longrightarrow & N \times BCP^1[w] & \longrightarrow & BS^1 \times BS^1 \end{array}$$

where  $BG$  is the classifying space of a group  $G$  or Haefliger's classifying space of an orbifold if  $G$  is an orbifold. Note that the lower fibration is a product of fibrations.

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- Some of the same type of results have been obtained on 5-manifolds whose fundamental group is a non-Abelian extension of  $\pi_1(\Sigma_g)$  in **Castañeda's** thesis.

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## Example: Bouquet on $S^3 \times \Sigma_g$

- The contact structure  $\mathcal{D}_{l_1,1,w}$  on  $S^3 \times \Sigma_g$  has a **4-bouquet** for all genera  $g$ .
- The **contact structures**  $\mathcal{D}_{4,1,(1,1)} \approx \mathcal{D}_{1,1,(5,3)} \approx \mathcal{D}_{2,1,(3,1)} \approx \mathcal{D}_{1,1,(7,1)}$  are all **isomorphic**, but with different **CR** structures.

$m$	$l_1$	$\mathbf{w}$
0	4	(1,1)
1	1	(5,3)
2	2	(3,1)
3	1	(7,1)

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- If, however,  $g > 0$  with  $l_2 > 1$  the topology of the join is not completely known, so we can say nothing about bouquets.

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- We say that  $(Y, \xi)$  is **K-semistable** if for each  $T_{\mathbb{C}}$  with  $\xi \in \mathfrak{t}$  and any  **$T_{\mathbb{C}}$ -equivariant test configuration**  $Fut(Y_0, \xi, a) \geq 0$ . It is **K-polystable** if equality holds only if it is a product configuration, that is,  $Y_0$  is isomorphic to  $Y$ .

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The set of **critical points** of the Einstein–Hilbert functional  $\mathbf{H}_\xi$  is the union of the **zeros** of the **Sasaki-Futaki invariant** and of the **total transversal scalar curvature**. In particular, if a Reeb vector field admits a **compatible cscS metric** then it is a **critical point of the Einstein–Hilbert functional**.

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Suppose the **Sasaki cone** is **exhausted by extremal Sasaki metrics** and that the total transverse scalar curvature does not vanish. Then the set of critical points of the **Einstein-Hilbert functional** is precisely the set of rays in the Sasaki cone with **constant scalar curvature**. In particular, in this case a Sasakian structure  $\mathcal{S} = (\xi, \eta, \Phi, g)$  has **constant scalar curvature** if and only if  $(Y, \xi)$  is **K-semistable**.

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Let  $M$  be a regular Sasaki manifold with constant transverse scalar curvature, and consider the  $S_{\mathbf{w}}^3$ -join  $M_{I_1, I_2, \mathbf{w}}$ . Its  $\mathbf{w}$ -cone  $\mathfrak{t}_{\mathbf{w}}^+$  has a cscS ray  $\tau_{\xi}$  if and only if the Sasaki-Futaki invariant  $F_{\xi}$  vanishes on the Lie algebra  $\mathfrak{t}_{\mathbf{w}} \otimes \mathbb{C}$ . Then for  $\xi \in \mathfrak{t}_{\mathbf{w}}^+$  the polarized affine cone  $(Y, \xi)$  associated to  $M_{I_1, I_2, \mathbf{w}}$  is K-semistable if and only if the Sasakian structure  $\mathcal{S} = (\xi, \eta, \Phi, g)$  on  $M_{I_1, I_2, \mathbf{w}}$  has constant scalar curvature (up to isotopy).

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- Outline of Proof: This involves a long calculation of the **admissible Kähler construction** together with the  $S^3_{\mathbf{w}}$ -Sasaki join construction which expresses the **Sasaki-Futaki invariant** in terms of certain **polynomial** on the Sasaki cone. □



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Assume that the **total transversal scalar curvature** is sign definite and bounded away from 0 on each transversal set of the Sasaki cone, then there exists at least one **Reeb vector field** for which the **Sasaki–Futaki invariant**  $\mathcal{S}\mathfrak{F}$  vanishes identically.

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- So  $\mathbf{H}(\xi)$  must reach a minimum somewhere in  $\Sigma$  and the variational formula implies  $\mathcal{G}\mathfrak{F} = 0$ .  
□

## Theorem (6)

Let  $(M, D, J, g, \xi)$  be either a **cscS** compact manifold of **negative transverse scalar curvature** or a compact **Sasaki- $\eta$ -Einstein** manifold of positive transverse scalar curvature. Then **H** is **transversally convex** at  $\xi$  (or **transversally concave** in the negative cscS case with  $n$  odd).

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- This lemma leads to the general question: Are the rays of **constant scalar curvature Sasaki metrics** isolated in the Sasaki cone?

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THANK YOU!      MUCHAS GRACIAS!