EXTREMAL SASAKIAN GEOMETRY

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Dedicated to the memory of Professor Shigeo Sasaki



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for some $f \neq 0$, take f > 0, or equivalently a codimension 1 subbundle $\mathfrak{D} = \operatorname{Ker} \eta$ of TM with a conformal symplectic structure. So {oriented contact 1-forms in \mathfrak{D} } $\approx C^{\infty}(M)^+$

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- **1** The pair (M, \mathcal{D}) is called a **contact manifold**.
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- **3** We can choose a **compatible almost complex structure** J on \mathfrak{D} , that is one that satisfies the two conditions

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 $d\eta(JX, Y) > 0$

for any sections X, Y of \mathfrak{D} .

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- Most contact forms in a contact structure D are irregular
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1 The almost complex structure J extends to an endomorphism Φ of TM satisfying $\Phi \xi = 0$.

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Definition

The contact metric structure $\mathcal{S}=(\xi,\eta,\Phi,g)$ is **K-contact** if $\mathcal{L}_{\xi}g=0$ (or $\mathcal{L}_{\xi}\Phi=0$). It is **Sasakian** if in addition (\mathcal{D},J) is integrable and the **transverse metric** $g_{\mathcal{D}}$ is Kähler (**transverse holonomy** U(n)). In the latter case we say that the contact structure \mathcal{D} is of **Sasaki type**.

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 - **1** The Ricci curvature of g satisfies $Ric_g(X, \xi) = 2n\eta(X)$ for any vector field X.

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- On a compact Sasaki manifold (M^{2n+1}, S) the Sasaki automophism group $\mathfrak{Aut}(S)$ contains a torus T^k of dimension $1 \le k \le n+1$. The case k=n+1 is a **toric Sasakian** structure.

Sasaki cone

- \bullet t_k the Lie algebra of T^k
- Sasaki cone (unreduced): $\mathfrak{t}_k^+(\mathcal{D},J)=\{\xi'\in\mathfrak{t}_k\mid\eta(\xi')>0,\}$ s.t. $\mathcal{S}=(\xi,\eta,\Phi,g)\in(\mathcal{D},J)$ is Sasakian.
- **Sasaki cone** (reduced): $\kappa(\mathcal{D}, J) = \mathfrak{t}_k^+(\mathcal{D}, J)/\mathcal{W}$ where \mathcal{W} is the Weyl group of $\mathfrak{CR}(\mathcal{D}, J)$
- \bullet $\kappa(\mathfrak{D}, J)$ is finite dim'l moduli of Sasakian structures with underlying CR structure (\mathfrak{D}, J) .
- **5** $1 \le \dim \kappa(\mathcal{D}, J) \le n+1$ and if $\dim \kappa(\mathcal{D}, J) = n+1$, M is toric Sasakian.
- **6** The set of extremal rays $\mathfrak{e}(\mathfrak{D}, J)$ is open in $\kappa(\mathfrak{D}, J)$.

- ① The cone C(M) is an **affine cone** which we denote by Y = C(M)
- The Kählerian structure of the cone Y is determined by the Sasakian structure on M or equivalently by the Reeb vector field ξ
- **3** A ξ -equivariant function $r: Y \longrightarrow \mathbb{R}^+$ gives the Kähler form $\Omega = i\partial \bar{\partial} r^2$
- \bullet ξ satisfies $\xi = I\Psi$ where $\Psi = r \frac{\partial}{\partial r}$, I is complex structure on Y.
- **1** We can lift the **Sasaki cone** \mathfrak{t}_k^+ to Y, denoted also by \mathfrak{t}_k^+ .
- **⑤** For each $\xi \in \mathfrak{t}_k^+$ have different Kähler form Ω on Y, get a polarized affine variety (Y, ξ) .

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 - 2 This leads to basic cohomology class $[d\eta]_B \in H^2_B(\mathcal{F}_\xi)$.

• Let L_{ξ} be the **real line bundle** generated by ξ and consider the **short exact sequence** of vector bundles $0 \longrightarrow L_{\xi} \longrightarrow TM \longrightarrow \nu(\mathcal{F}_{\xi}) \longrightarrow 0$. A choice of **Sasakian structure** $\mathcal{S} \in \mathfrak{F}(\xi)$ splits this sequence as $TM = L_{\xi} \oplus \mathcal{D}$ with $\mathcal{D} = \ker \eta$.

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- We denote by $\mathfrak{h}_0^T(\xi, \bar{J})$ the Lie algebra of $\mathfrak{H}_0^T(\xi, \bar{J})$.

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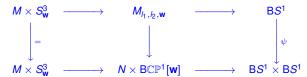
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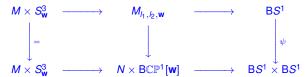
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• Example: $M = S^{2p+1}$ get $H^*(M_{l_1,l_2,\mathbf{w}},\mathbb{Z}) \approx \mathbb{Z}[x,y]/(w_1w_2l_1^2x^2,x^{p+1},x^2y,y^2)$ with $\deg x = 2$, $\deg y = 2p+1$. l_1,w_1,w_2 fixed \Rightarrow (Sullivan) finite number of diffeomorphism types.

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- When Θ(₃)(1 + r₃)^{d_N} is a (d_N + 3) order ((d_N + 2) order) polynomial we get extremal (CSC) Kähler metrics. Here d_N is the complex dimension of N.

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$$\Delta_{mv_1,mv_2} = \left(1 - \frac{1}{mv_1}\right)D_1 + \left(1 - \frac{1}{mv_2}\right)D_2$$

consisting of the zero D_1 and infinity D_2 sections of the **projective bundle** $S_n = \mathbb{P}(1 \oplus L_n)$ over N with ramification indices mv_1 , mv_2 , respectively and n an integer determined by l_1 , l_2 , \mathbf{w} , \mathbf{v} .

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- Sasaki version of the Donaldson-Tian-Yau conjecture: cscS ← cone is K-polystable.

• The notions of K-stability, K-polystability, K-semistability have been amply developed in Kählerian geometry. Here we only deal with K-semistability. A Sasaki manifold (M, S) is said to be K-semistable if the affine cone (C(M), I) is K-semistable (Collins,Székelyhidi).

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- To define K-semistability we need the notion of test configuration. Let Y = C(M) be the affine cone of a Sasaki manifold M and suppose it has an action of a complex torus $T_{\mathbb{C}}$ such that its Lie algebra $\mathfrak{t}_{\mathbb{C}}$ contains the Reeb vector field ξ . We view the pair (Y, ξ) as a **polarized variety**. A $T_{\mathbb{C}}$ -equivariant test configuration for Y is given by a set of k $T_{\mathbb{C}}$ -homogeneous generators f_1, \ldots, f_k of the coordinate ring of Y and K integers K, K, K, K are generators of K.

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$$Fut(Y_0,\xi,a) := \frac{\mathbf{V}_{\xi}}{n} D_a \left(\frac{\mathbf{S}_{\xi}}{\mathbf{V}_{\xi}} \right) + \frac{\mathbf{S}_{\xi} D_a \mathbf{V}_{\xi}}{n(n+1) \mathbf{V}_{\xi}}$$

where D_a is the **directional derivative**.

Sasakian K-Stability

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We say that (Y, ξ) is K-semistable if for each T_C with ξ ∈ t and any T_C-equivariant test configuration Fut(Y₀, ξ, a) ≥ 0. It is K-polystable if equality holds only if it is a product configuration, that is, Y₀ is isomorphic to Y.

Theorem (1)

The set of critical points of the Einstein–Hilbert functional \mathbf{H}_{ξ} is the union of the zeros of the Sasaki-Futaki invariant and of the total transversal scalar curvature. In particular, if a Reeb vector field admits a compatible cscS metric then it is a critical point of the Einstein–Hilbert functional.

Proof: This follows immediately from

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Theorem (2)

If ξ is not a critical point of $\mathbf{H}(\xi)$ then (Y,ξ) is not K-semistable. Alternatively, if (Y,ξ) is K-semistable then ξ is a critical point of the Einstein-Hilbert functional $\mathbf{H}(\xi)$, and if in addition $\mathbf{S}_{\xi}^{n} \neq 0$, then the Sasaki–Futaki invariant \mathfrak{S}_{ξ}^{n} vanishes identically.

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- Calculation gives $Fut(Y_0, \xi, a) = 0$ for any product less configuration.
- So if ξ is not a critical point of $\mathbf{H}(\xi)$, then there exists $a \in \mathfrak{t}$ such that $Fut(Y_0, \xi, a) \neq 0$ for any test configuration. The theorem then follows by contradiction.

Theorem (3)

Suppose the Sasaki cone is exhausted by extremal Sasaki metrics and that the total transverse scalar curvature does not vanish. Then the set of critical points of the Einstein-Hilbert functional is precisely the set of rays in the Sasaki cone with constant scalar curvature. In particular, in this case a Sasakian structure $\mathcal{S}=(\xi,\eta,\Phi,g)$ has constant scalar curvature if and only if (Y,ξ) is K-semistable.

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Let M be a regular Sasaki manifold with constant transverse scalar curvature, and consider the $S^{\bullet}_{\mathbf{W}}$ -join $M_{l_1,l_2,\mathbf{W}}$. Its \mathbf{w} -cone $\mathbf{t}^{+}_{\mathbf{W}}$ has a cscS ray \mathbf{r}_{ξ} if and only if the Sasaki-Futaki invariant \mathfrak{S}_{ξ} vanishes on the Lie algebra $\mathbf{t}_{\mathbf{W}} \otimes \mathbb{C}$. Then for $\xi \in \mathbf{t}^{+}_{\mathbf{W}}$ the polarized affine cone (Y,ξ) associated to $M_{l_1,l_2,\mathbf{W}}$ is \mathbf{K} -semistable if and only if the Sasakian structure $\mathcal{S} = (\xi,\eta,\Phi,g)$ on $M_{l_1,l_2,\mathbf{W}}$ has constant scalar curvature. There can be several cscS rays in $\mathbf{t}^{+}_{\mathbf{W}}$.

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Theorem (3)

Suppose the Sasaki cone is exhausted by extremal Sasaki metrics and that the total transverse scalar curvature does not vanish. Then the set of critical points of the Einstein-Hilbert functional is precisely the set of rays in the Sasaki cone with constant scalar curvature. In particular, in this case a Sasakian structure $\mathcal{S}=(\xi,\eta,\Phi,g)$ has constant scalar curvature if and only if (Y,ξ) is K-semistable.

 Proof: This follows from Theorem 2, work of Collins, Székelyhidi, and the vanishing of the Sasaki-Futaki invariant for cscS metrics.

Theorem (4)

Let M be a regular Sasaki manifold with constant transverse scalar curvature, and consider the $S^{\mathfrak{A}}_{\mathfrak{A}}$ -join $M_{l_{1},l_{2},\mathbf{w}}$. Its \mathbf{w} -cone $\mathfrak{t}^{+}_{\mathfrak{w}}$ has a cscS ray \mathfrak{r}_{ξ} if and only if the Sasaki-Futaki invariant \mathfrak{S}_{ξ} vanishes on the Lie algebra $\mathfrak{t}_{\mathbf{w}} \otimes \mathbb{C}$. Then for $\xi \in \mathfrak{t}^{+}_{\mathbf{w}}$ the polarized affine cone (Y,ξ) associated to $M_{l_{1},l_{2},\mathbf{w}}$ is \mathbf{K} -semistable if and only if the Sasakian structure $\mathcal{S}=(\xi,\eta,\Phi,g)$ on $M_{l_{1},l_{2},\mathbf{w}}$ has constant scalar curvature. There can be several cscS rays in $\mathfrak{t}^{+}_{\mathbf{w}}$.

- Outline of Proof: First some notation: H is a Hamiltonian vector field on $M_{l_1,l_2,\mathbf{w}}$, $\xi = \xi_{\mathbf{v}}$ with $\mathbf{v} = (v_1, v_2) \in \mathfrak{t}^+_{\mathbf{w}}$, and $b = v_1/v_2$.
- \bullet Generally the <code>Sasaki-Futaki</code> invariant \mathfrak{S}_{ξ} is difficult to compute, but
- On $M_{l_1,l_2,\mathbf{w}}$ the **Einstein-Hilbert functional H**(b) is easy to compute.

Outline of Proof of Theorem 4

The Einstein-Hilbert functional takes the form

$$\mathbf{H}(b) \quad = \quad \frac{\left(l_1 w_1^{d_N+1} b^{d_N+2} + (l_2 A - l_1 w_2) w_1^{d_N} b^{d_N+1} + (l_1 w_1 - l_2 A) w_2^{d_N} b - l_1 w_2^{d_N+1} \right)^{d_N+2}}{(w_1 b - w_2) \left(w_1^{d_N+1} b^{d_N+2} - w_2^{d_N+1} b \right)^{d_N+1}}.$$

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$$\bullet \ \, \text{And} \ \, \mathbf{H}'(b) = \frac{\mathbf{s}_{\xi}^{d_N+1}}{(b\nu_1)^{2d_N+3}\mathbf{v}_{\xi}} \frac{f(b)}{(w_1b-w_2)^3} \ \, \text{giving} \, \, \mathfrak{S}_{\xi_{\mathbf{v}}}(\Phi H) = \frac{f(b)}{\nu_2^{2n+1}(w_1b-w_2)^3\mathbf{v}_{\xi_{\mathbf{v}}}} \ \, \text{where}$$

$$f(b) = (d_{N}+1)I_{1}w_{1}^{2d_{N}+3}b^{2d_{N}+4}$$

$$- w_{1}^{2(d_{N}+1)}b^{2d_{N}+3}(AI_{2}+I_{1}(d_{N}+1)w_{2})$$

$$+ w_{1}^{d_{N}+2}w_{2}^{d_{N}}b^{d_{N}+3}((d_{N}+1)(A(d_{N}+1)I_{2}-I_{1}((d_{N}+1)w_{1}+(d_{N}+2)w_{2})))$$

$$- w_{1}^{d_{N}+1}w_{2}^{d_{N}+1}b^{d_{N}+2}(2Ad_{N}(d_{N}+2)I_{2}-(d_{N}+1)(2d_{N}+3)I_{1}(w_{1}+w_{2}))$$

$$+ w_{1}^{d_{N}}w_{2}^{d_{N}+2}b^{d_{N}+1}(d_{N}+1)(A(d_{N}+1)I_{2}-I_{1}((d_{N}+2)w_{1}+(d_{N}+1)w_{2}))$$

$$- w_{2}^{2(d_{N}+1)}(b(AI_{2}+I_{1}(d_{N}+1)w_{1}))$$

$$+ (d_{N}+1)I_{1}w_{2}^{2d_{N}+3}.$$

Theorem (5)

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Assume that the total transversal scalar curvature is sign definite and bounded away from 0 on each transversal set of the Sasaki cone, then there exists at least one Reeb vector field for which the Sasaki–Futaki invariant $\mathfrak{S}_{\mathfrak{F}}$ vanishes identically.

• Proof: Assume the total scalar curvature is sign definite and bounded away from zero on any transversal subset of \mathfrak{t}^+ which gives $|\mathbf{S}_{\xi}| \geq m_{\xi} \mathbf{V}_{\xi}, \quad \forall \xi \in \mathfrak{t}^+$ and some constant m_{ξ} depending only on ξ .

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- But the left hand side is invariant under scaling of ξ , so there exists an m_0 independent of ξ such that on a transverse subset Σ we have $\mathbf{H}(\xi) \geq m_{\xi}^{n+1}\mathbf{V}_{\xi} \geq m_{o}^{m+1}\mathbf{V}_{\xi} \quad \forall \xi \in \Sigma$.

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- A result of He, Sun says that V_{ξ} is strictly convex on Σ and tends to infinity on the boundary $\partial \Sigma$.
- So $\mathbf{H}(\xi)$ must reach a minimum somewhere in Σ and the variational formula implies $\mathfrak{S}\mathfrak{F}=0$.

Theorem (6)

Let (M,D,J,g,ξ) be either a cscS compact manifold of negative transverse scalar curvature or a compact Sasaki- η -Einstein manifold of positive transverse scalar curvature. Then H is transversally convex at ξ (or transversally concave in the negative cscS case with n odd).

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- This lemma leads to the general question: Are the rays of constant scalar curvature Sasaki metrics isolated in the Sasaki cone?

THANK YOU FOR YOUR ATTENTION!!