# Einstein Metrics on Spheres

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# **History**

### General Relativity.

(Einstein) Use Riemannian geometry with Lorentz signature as a theory of gravity. Reasoning: total amount of energy and momentum in the universe should equal the curvature of the universe.

Energy and momentum is represented by a symmetric 2-tensor  $T_{\mu\nu}$ . There are exactly two symmetric 2-tensors in the theory, the Ricci curvature,  $R_{\mu\nu}$ , and the (Lorentzian) metric itself  $g_{\mu\nu}$ . So Einstein tensor

$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}sg_{\mu\nu} = 8\pi T_{\mu\nu}$$

s scalar curvature.

Later add 'cosmological constant'  $\Lambda g_{\mu\nu}$  to r.h.s. "The biggest blunder of my life." (Einstein) But recently 'not so big a blunder'—dark energy  $\Rightarrow \Lambda$  small but > 0.

### Riemannian manifold (M,g)

A Riemannian metric g is Einstein if  $Ric_g = \lambda g$  $\lambda$  constant

Three cases:

- (1)  $\lambda > 0$ ,
- (2)  $\lambda = 0$ ,
- (3)  $\lambda$  < 0.

## Motivation

## Variational Principle

Normalize: (vol of g) = 1.

$$g\mapsto \int_M s_g d\mu_g,\ \mu_g$$
 volume

(Hilbert) Einstein metrics are critical points.

### Quadratic functionals:

$$g \mapsto \int_M s_g^2 d\mu_g$$
 (Calabi)

Einstein metrics are critical points. Maybe Einstein metrics are distinguished.

# **Spheres**

- •History: Einstein metrics
- $\bullet$  Round metric on  $S^n$  (Gauss-Riemann)
- Squashed metrics on  $S^{4n+3}$  (Jensen, 1973)
- $\bullet$  Homogeneous Einstein metric on  $S^{15}$  (Bourguignon and Karcher, 1978).
- These are all homogeneous Einstein metrics on  $S^n$  and they are the only such metrics up to homothety (Ziller,1982).
- Infinite sequences of inhomogeneous Einstein metrics on  $S^5, S^6, S^7, S^8$  and  $S^9$  (Böhm,1998). Maybe not so distinguished

# **Exotic Spheres**

### (Milnor, 1956)

Spheres that are homeomorphic but not diffeomorphic to  $S^n$ . Homotopy spheres that bound a parallelizable manifold  $bP_{n+1}$  form an Abelian group. (Kervaire-Milnor)

For  $S^{4n+1}$ ,  $bP_{4n+2} = \mathbb{Z}_2$  if  $4n \neq 2^j - 4$  for any j. No bP exotics  $S^5, S^{13}, S^{29}, S^{61}$ .

$$bP_8 = \mathbb{Z}_{28}, bP_{12} = \mathbb{Z}_{992}$$
  
 $bP_{16} = \mathbb{Z}_{8128}, bP_{20} = \mathbb{Z}_{130816}$   
Generally,  $|bP_{4m}| = 2^{2m-2}(2^{2m-1}-1)$  num  $(\frac{4B_m}{m})$ 

### • Results (B-, Galicki, Kollár)

 $N_{SE}=\#$  of deformation classes Einstein metrics.

 $\mu_{SE}=\#$  moduli of Einstein metrics.

- Each 28 diffeo types of  $S^7$  admits hundreds of Einstein metrics, many with moduli. Largest moduli has dimension 82, standard  $S^7$ .
- All 992 diffeo types in  $bP_{12}$  and all 8128 diffeo types in  $bP_{16}$  admit Einstein metrics, i.e. on  $S^{11}, S^{15}$ .
- All elements of  $bP_{4n+2}$  admit Einstein metrics.

Our Einstein metrics are special, Sasaki-Einstein (SE)

ullet Both the number  $N_{SE}$  of deformation classes and the number  $\mu_{SE}$  of moduli grow double exponentially with dimension.

(1) 
$$N_{SE}(S^{13}) > 10^9$$
 and  $\mu_{SE}(S^{13}) = 21300113901610$  (2)  $N_{SE}(S^{29}) > 5 \times 10^{1666}$  and  $\mu_{SE}(S^{29}) > 2 \times 10^{1667}$ 

Conjecture: Both  $N_{SE}(S^{2n-1})$  and  $\mu_{SE}(S^{2n-1})$  are finite.

Similar results for rational homology spheres (B-,Galicki) and other manifolds.

### **Ingredients of Proof**

- 1. Contact geometry. Sasakian metrics
- 2. Differential topology. diffeomorphism types
- 3. Singularity theory. Links of isolated hypersurface singularities
- 4. Algebraic geometry. algebraic orbifolds
- 5. Analysis. Monge-Ampère deformations

# Contact Manifold(compact)

A contact 1-form  $\eta$  such that

$$\eta \wedge (d\eta)^n \neq 0.$$

defines a contact structure

$$\eta' \sim \eta \iff \eta' = f\eta$$

for some  $f \neq 0$ , take f > 0. or equivalently a codimension 1 subbundle  $\mathcal{D} = \text{Ker } \eta$  of TM.  $(\mathcal{D}, d\eta)$  symplectic vector bundle

Unique vector field  $\xi$ , called the Reeb vector field, satisfying

$$\xi | \eta = 1, \qquad \xi | d\eta = 0.$$

The characteristic foliation  $\mathcal{F}_{\xi}$  each leaf of  $\mathcal{F}_{\xi}$  passes through any nbd U at most k times  $\iff$  quasi-regular,  $k=1 \iff$  regular, otherwise irregular

Contact bundle  $\mathcal{D} \to \text{choose almost complex}$ structure J extend to  $\Phi$  with  $\Phi \xi = 0$ 

Get a compatible metric

$$g = d\eta \circ (\Phi \otimes \mathbb{1} + \eta \otimes \eta)$$

Quadruple  $S = (\xi, \eta, \Phi, g)$  called **contact met**ric structure

**Definition**: The structure  $S = (\xi, \eta, \Phi, g)$  is **K-contact** if  $\pounds_{\xi}g = 0$  (or  $\pounds_{\xi}\Phi = 0$ ). It is **Sasakian** if in addition  $(\mathcal{D}, J)$  is integrable. Note: Here we work entirely with Sasakian structures.

# **Geometry of Links**

 $\mathbb{C}^{n+1}$  coord's  $\mathbf{z} = (z_0, \dots, z_n)$  weighted  $\mathbb{C}^*$ -action

$$(z_0,\ldots,z_n)\mapsto (\lambda^{w_0}z_0,\ldots,\lambda^{w_n}z_n),$$

weight vector  $\mathbf{w} = (w_1, \cdots, w_n)$  with  $w_j \in \mathbb{Z}^+$  and

$$\gcd(w_0,\ldots,w_n)=1.$$

f weighted homogeneous polynomial

$$f(\lambda^{w_0}z_0,\ldots,\lambda^{w_n}z_n)=\lambda^d f(z_0,\ldots,z_n)$$

 $d \in \mathbb{Z}^+$  is **degree** of f.

 $0 \in \mathbb{C}^{n+1}$  isolated singularity.

 $link L_f$  defined by

$$L_f = f^{-1}(0) \cap S^{2n+1},$$

 $S^{2n+1}$  unit sphere in  $\mathbb{C}^{n+1}$ 

Special Case: Brieskorn-Pham poly. (BP)

$$f(z_0,\ldots,z_n) = z_0^{a_0} + \cdots + z_n^{a_n}$$

 $a_i w_i = d, \ \forall i.$ 

# Brieskorn-Pham Graph Thm:

For  $\mathbf{a}=(a_0,\ldots,a_n)$  integers  $\geq 2 \Rightarrow$  a graph  $G(\mathbf{a})$  whose vertices are  $a_i$ . And  $a_i$  is connected to  $a_j$  if  $\gcd(a_i,a_j)>1$ .

Link  $L_f$  is a homology sphere  $\iff$ 

- (1):  $G(\mathbf{a})$  contains at least two isolated points, or
- (2):  $G(\mathbf{a})$  has an odd # of vertices and  $a_i, a_j$ ,  $\gcd(a_i, a_j) = 2$  if  $\gcd(a_i, a_j) > 1$ .

### Determine the diffeomorphism type:

- (1): If  $\dim \equiv 3 \mod 4$ : given by Hirzebruch signature of manifold that  $L_f$  bounds. Combinatorical formula (Brieskorn)
- (2): If  $\dim \equiv 1 \mod 4$ :  $G(\mathbf{a})$  has one isolated point  $a_k$  such that  $a_k \equiv \pm 3 \mod 8$  gives Kervaire sphere.  $a_k \equiv \pm 1 \mod 8$  gives standard sphere.

Fact:  $L_f$  has natural structure with commutative diagram:  $S_{\mathbf{w}}^{2n+1}$  weight sphere  $\mathbb{P}_{\mathbb{C}}(\mathbf{w})$  weighted projective space

$$egin{array}{cccc} L_f & \longrightarrow & S_{\mathbf{w}}^{2n+1} \ \downarrow^{\pi} & & \downarrow \ \mathcal{Z}_f & \longrightarrow & \mathbb{P}_{\mathbb{C}}(\mathbf{w}), \end{array}$$

horizontal arrows: Sasakian and Kählerian embeddings.

vertical arrows: orbifold Riemannian submersions.

 $L_f$  is Sasaki-Einstein (SE)  $\iff \mathcal{Z}_f$  is Kähler-Einstein (KE)

**Question**: When do we have SE or KE metrics?

- 1.  $c_1^{orb}(\mathcal{Z}) > 0$  (easy)
- 2. solve Monge-Ampère equation (hard)

$$\frac{\det(g_{i\bar{j}} + \frac{\partial^2 \phi}{\partial z_i \partial \bar{z}_j})}{\det(g_{i\bar{j}})} = e^{f - t\phi}.$$

Tian: uniform boundedness

$$\int_{\mathcal{Z}} e^{-\gamma t \phi_{t_0}} \omega_0^n < +\infty$$

Many people Yau, Tian, Siu, Nadel, and most recently by Demailly and Kollár in orbifold category.

### alebraic geometry of orbifolds:

local uniformizing covers

**branch divisor**: Q-divisor

$$\Delta := \sum (1 - \frac{1}{m_j}) D_j$$

### canonical orbibundle

$$K_{\mathcal{Z}}^{orb} = K_{\mathcal{Z}} + \sum (1 - \frac{1}{m_j})[D_j],$$

ramification index:  $m_j$ 

Kawamata log terminal or **klt** For every  $s \ge 1$  and holomorphic section  $\tau_s \in H^0(\mathcal{Z}, \mathcal{O}((K_{\mathcal{Z}}^{orb})^{-s}))$  there is  $\gamma > \frac{n}{n+1}$  such that  $|\tau_s|^{-\gamma/s} \in L^2(\mathcal{Z})$ .

Theorem 2:  $c_1^{orb}(\mathcal{Z}) > 0$ , klt  $\Rightarrow$  Sasaki-Einstein metric.

### Sasaki-Einstein metrics

Positivity  $\Rightarrow I = (\sum w_i - d) > 0$ klt estimates for  $L_f$ 

$$d(\sum w_i - d) < \frac{n}{n-1} \min_{i,j} w_i w_j.$$

BP polyn: (better)

$$1 < \sum_{i=0}^{n} \frac{1}{a_i} < 1 + \frac{n}{n-1} \min_{i} \{ \frac{1}{a_i}, \frac{1}{b_i b_j} \}.$$

 $a_i$  BP exponents and

$$b_i = \gcd(a_i, \operatorname{lcm}(a_j \mid j \neq i))$$

∃ other estimates. Positivity plus a klt estimate ⇒ SE metric

To determine the moduli  $\mu_{SE}$  add monomials  $z_{i_1}^{b_{i_1}}\cdots z_{i_k}^{b_{i_k}}$  such that  $\sum_j b_{i_j}=d$  to BP polynomial. Divide by equivalence of  $\mathfrak{Aut}(\mathcal{Z}_f)$ .

# Why double exponential growth?

Reason for growth: Sylvester's sequence determined by  $c_{k+1} = 1 + c_0 \cdots c_k$  begins as 2, 3, 7, 43, 1807, 3263443, 10650056950807, ...

 $N_{SE}$ : sequences  $\mathbf{a}=(a_0=c_0,\ldots,a_{n-1}=c_{n-1},a_n)$  with  $c_{n-1}< a_n< c_0\cdots c_{n-1}$  give SE metrics. Use prime number theorem.

 $\mu_{SE}$ : sequences  $\mathbf{a}=(a_0=c_0,\ldots,a_{n-1}=c_{n-1},a_n)$  where  $a_n=(c_{n-1}-2)c_{n-1}$ . Polynomial f contains  $G(z_{n-1},z_n^{c_{n-1}-2})$ . Again by prime number theorem gives double exponential growth.

**Conjecture**: All elements of  $bP_{2n}$  admit SE metrics.

Estimate of Lichnerowicz  $\Rightarrow$  if  $I = (\sum w_i - d) > n \, \min_i w_i$  then  $\nexists$  SE metrics. Only applies to KE orbifolds! (Gauntlett, Martelli, Sparks, Yau) (Ghigi, Kollár) class of SE metrics where bound is sharp.  $\times 10$  more on spheres.